

PG4029US

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

TRANSMITTAL LETTER TO THE UNITED STATES

DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A FILING UNDER 35 U.S.C. 371

INTERNATIONAL APPLICATION NO.

PCT/EP00/09293

INTERNATIONAL FILING DATE

22 September 2000

PRIORITY DATE CLAIMED

01 October 1999

TITLE OF INVENTION

REMOTE PATIENT ASSESSMENT SYSTEM

APPLICANT(S) FOR DO/EO/US

ANDERSON, Gregor John McLennan; BONNEY, Stanley George; JONES, Anthony Patrick; ROBERTSON, Duncan

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). *Anderson, Bonney, Jones, Robertson*
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☐ Other items or information:

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24. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	- 20 =	0	x \$18.00
Independent claims	- 3 =	0	x \$84.00

Multiple Dependent Claims (check if applicable). ☐**TOTAL OF ABOVE CALCULATIONS =**

- ☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

SUBTOTAL =Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).**TOTAL NATIONAL FEE =**Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☐**TOTAL FEES ENCLOSED =**

06/19/2002 MKAYPAGH 00000020 071392 10089761

01 154 130.00 CH

Amount to be: refunded	\$
charged	\$

- a. ☐ A check in the amount of _____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 07-1392 in the amount of \$130.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1392. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:



23347

PATENT TRADEMARK OFFICE

SIGNATURE

James P. Riek

NAME

39, 009

REGISTRATION NUMBER

2 April 2002

DATE

CALCULATIONS PTO USE ONLY

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\$0.00

\$130.00

\$0.00

\$0.00

\$0.00

\$130.00

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\$130.00

\$0.00

\$130.00

PCT

Docket No.: PG4029USw
In re: ANDERSON, et. al.
US National Application No.: 10/089,761
US National Filing Date:
International Application No.: PCT/EP00/09293
International Filing Date: 09/22/2000

PCT Notice of Missing Requirements mailed: 05/21/2002
Title: REMOTE PATIENT ASSESSMENT SYSTEM

Commissioner for Patents
BOX PCT
Washington, DC 20231
Attn: DO/EO/US

PCT Response to Notification of Missing Requirements under 35 USC 371 in the DO/EO/US

Sirs:

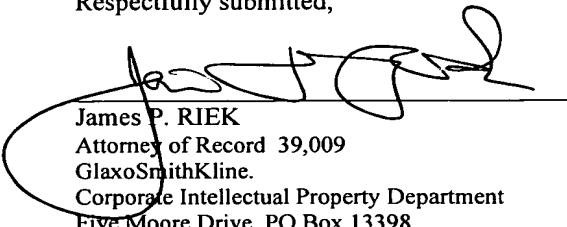
In response to the PCT Notification of Missing Requirements under 35 USC 371 in the DO/EO/US and mailed to us on 05/21/2002, we submit the following response.

- Copy of Form PCT/DO/EO/905
- Copy of 2nd transmission under 35 USC 371 with signed declarations for each inventor and with a copy of post card and express mail receipt sent to PCT/DO/EO/US on 04/02/2002

Please charge our deposit account 07-1392 for all required fees taking note that we have already paid the \$130.00 for late declarations when we filed our 2nd transmission under 35 USC 371..

Respectfully submitted,

Date: 10 June 2002


James P. RIEK
Attorney of Record 39,009
GlaxoSmithKline.
Corporate Intellectual Property Department
Five Moore Drive, PO Box 13398
Research Triangle Park, NC 27709
Telephone: 919-483-2370
Fax: 919-483-7988

Express Mail No.:
EV022026265US

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **ANDERSON et al.**

Docket No.

PG4029USw

Serial No.

10/089,761

Filing Date

Examiner

Group Art Unit

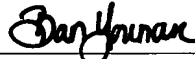
Invention:

REMOTE PATIENT ASSESSMENT SYSTEM

I hereby certify that this PCT Response to Notification of Missing Requirements and Corresponding Documents
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
 37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on 6-12-02
(Date)

Ban Younan*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EV022026265US***(Express Mail Mailing Label Number)***RECEIVED****JUL 02 2002****Note: Each paper must have its own certificate of mailing.****Technology Center 2100**



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/089,761	Gregor John McLennan Anderson	PG4029USw

23347
DAVID J LEVY, CORPORATE INTELLECTUAL PROPERTY
GLAXOSMITHKLINE
FIVE MOORE DR.
PO BOX 13398
DURHAM, NC 27709-3398

INTERNATIONAL APPLICATION NO.	
PCT/EP00/09293	
I.A. FILING DATE	PRIORITY DATE
09/22/2000	10/01/1999

CONFIRMATION NO. 2889
371 FORMALITIES LETTER

Date Mailed: 05/21/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments
- Request for Immediate Examination

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The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Express Mail No.:
EV022026265US

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

TAMALA D HOLLAND

Telephone: (703) 305-5483

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/089,761	PCT/EP00/09293	PG4029USw

FORM PCT/DO/EO/905 (371 Formalities Notice)

DOCKET No. PG4029USW Date Mailed 4-2-02 Atty/Secy JPR/BY
Express Mail No.:
MAILING: CERTIFICATE/ EXPRESS MAIL # EV022025653US
U.S. Serial No.:
Int'l App. No.: PCT/EP00/09293 Int'l Filing Date: 22 Sept 2000

RECEIPT IS ACKNOWLEDGED FOR THE FOLLOWING:

- ☐ Appln Transmit +1 copy for: ☐ Provisional ☐ CIP
☐ Utility ☐ CONTIN ☐ DIV ☐ RCE ☐ TRADEMARK
☐ Specification _____ pgs ☐ Abstract _____ pgs
☒ Dees 4 pgs ☒ Powers of Atty 4 pgs
☐ Drawings _____ Sheet(s)/Figs _____ to _____
☐ Assignment _____ pgs & Recordation Cover Sheet
☐ Transmit Ltr Nat'l Stage Entry + fee pg (3pgs)
☐ Information Disclosure Statement
☐ Form PTO-1449 _____ pgs. ☐ References
☐ Amendment ☐ Response _____ pgs
☐ Petition for _____ month Extension of Time +1 copy
☐ Issue Fee Trans. (Part B) + 1 copy
☐ Copy of Notice to File Missing Parts
☐ Request for Nonpublication (1 pg)
☒ Authorization to Charge Dep. Acct. # 07-1392
☒ Certificate of Mailing

- ☐ Copy of Notice to Comply
☐ Statement to Support Filing
☐ Diskette ☐ Sequence List
☐ Appeal Brief _____ pgs
☐ Petition _____ pgs
☐ Status Request
☒ 2nd Transmit for Nat'l Stage
☐ Resp. to Written Opinion
☐ Priority Doc # _____
☐ Notice of Appeal + fee
☐ Resp to Restrict Req _____ pgs
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TO ADDRESSEE



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